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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09.842.346	04/25/2001	Robert Roy Keller JR.	70550

CONFIRMATION NO. 6975

22242  
FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 606033406

FORMALITIES LETTER



\*OC000000006820049\*

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

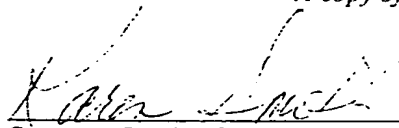
The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

One of the duplicate disc(s) submitted contains unreadable files but its duplicate has readable copy of the file. A replacement disc with a readable copy of all files complying with 37 CFR 1.52(e) is required. The disc(s) with the readable copy of the files will be "Copy 1" and the replacement will be "Copy 2". Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and the files which are unreadable are marked on the directory listing.

- The balance due by applicant is \$ 130.

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A copy of this notice MUST be returned with the reply.

A handwritten signature in cursive script, appearing to read "K. Allen Smith", is written over a horizontal line.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



COPY OF PAPERS  
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PATENT

Attorney Docket No. 70550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keller, et al.

Appln No.: 09/842,346

Filed: 04/25/2001

For: Simplified Method and Apparatus  
for Programming a Universal  
Transmitter

Group Art

Unit: 2632

Examiner: Not yet known

CERTIFICATE OF MAILING

I hereby certify that this paper is being  
deposited with the United States Postal Service  
as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Washington, D.C. 20231, on this date.

01/02/02

Date

*Kenneth H. Samples*

Kenneth H. Samples

Registration No. 25,747

Attorney for Applicant(s)

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Box MISSING PARTS**

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated 10/01/2001, enclosed are:

☒ A copy of the Notice to File Missing Parts.

☒ An executed Declaration for Patent Application.

☐ \_\_\_\_\_ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal

☐ An Assignment of the invention to \_\_\_\_\_, and Recordation Form Cover Sheet - Patent's  
Only - Form PTO-1595.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the fee for recording the assignment.

☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit  
Account for recording the assignment.

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	
<input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	
Independent Claims <u>4</u> - <u>3</u> = <u>1</u> x \$ 84.00	= \$	<u>0.00</u>
Total Claims <u>15</u> - <u>20</u> = <u>0</u> x \$ 18.00	= \$	<u>0.00</u>
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	\$ <u>130.00</u>
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee		\$ <u>130.00</u>

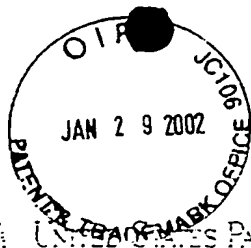
☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: \_\_\_\_\_

- ☒ Transmittal Cover Letter for Computer Program Listing Appendix and two (2) identical compact discs (CD-ROMs).
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the Filing and/or Surcharge Fee.
- ☒ Charge \$ 130.00 to Deposit Account No. 06-1135.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

01/02/02  
Date

Kenneth H. Samples  
Kenneth H. Samples  
Registration No. 25,747

FITCH, EVEN, TABIN & FLANNERY  
Suite 1600  
120 South LaSalle Street  
Chicago, Illinois 60603-3406  
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Facsimile: (312) 577-7007  
270411



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 842,346	04.25.2001	Robert Roy Keller JR.	70550

CONFIRMATION NO. 6975

FORMALITIES LETTER



\*00000000005820045\*

22242  
FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 606033406

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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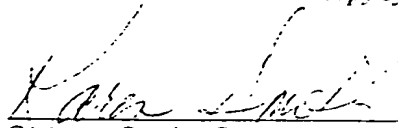
The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

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- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

176

COPY OF PAPERS  
ORIGINALLY FILED

PATENT

Attorney Docket No. 70550



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keller, et al. )  
 )  
Appln No.: 09/842,346 )  
 )  
Filed: April 25, 2001 )  
 )  
For: Simplified Method and )  
Apparatus For Programming a )  
Universal Transmitter )  
 )  
Group Art )  
Unit: 2632 )  
 )  
Examiner: Not yet assigned )

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

01/02/02  
Date

Kenneth H. Samples  
Kenneth H. Samples  
Registration No. 25,747  
Attorney for Applicant(s)

**TRANSMITTAL COVER LETTER  
FOR COMPUTER PROGRAM LISTING APPENDIX**

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

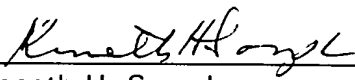
In response to the outstanding Office Action dated October 1, 2001, and pursuant to 37 C.F.R. §§1.52(e), 1.77(b), and 1.96, Applicant(s) hereby submit two (2) identical compact discs (CD-ROMs) each containing a computer program listing appendix for the above-captioned application. These discs are in IBM-PC machine format and are MS-Windows operating system compatible. The file on each disc is named "codelisting.txt", is 71.3KB in size, and was created on January 2, 2002.

Appln No. 09/842,346  
Filed April 25, 2001

TRANSMITTAL COVER LETTER  
Attorney Docket No. 70550

The Commissioner is hereby authorized to charge any additional fees which may be required in respect to this submission, or credit any overpayment, to Deposit Account No. 06-1135.

Respectfully submitted,  
FITCH, EVEN, TABIN & FLANNERY

  
\_\_\_\_\_  
Kenneth H. Samples  
Registration No. 25,747

Date: January 2, 2002

Suite 1600  
120 South LaSalle Street  
Chicago, Illinois 60603-3406  
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Facsimile: (312) 577-7007